



Empowering Success for Compliance and Risk Management

The ever-changing regulatory landscape poses continuing challenges to organizations. While some industries are more heavily regulated than others, all companies need to have mechanisms in place to ensure compliance with applicable laws and industry standards. Companies need to be able to demonstrate their compliance to regulators, customers, and third parties such as insurance companies on an ongoing basis.

Every company needs awareness of the threats it faces and the capabilities it has in place to protect itself. Companies need to understand the risks associated with those threats and the steps needed to mitigate those risks to the greatest practical extent. These programs need to engage people across the organization to support efforts, with the ability to measure effectiveness to ensure they provide value.

Compliance and risk management programs give customers and third parties assurance of your proactive steps to protect the trust they put in you with their data and processing. These programs embody proactive steps that help monitor and defend against impacts, and make your company more capable of responding when challenges occur. Compliance and risk management efforts leverage systems, data, and infrastructure. They support people with policies and procedures to guide their actions. They govern the shared responsibility companies have with third parties that are inherent to how they do business.

Professional Services and Cloud Based Tools Focused on Your Organization



Team Empowerment
Our services and tools drive self-sufficiency for your people.



Required | Target | Model
Knowing what is required versus optional to help you prioritize.



Program Development
Frameworks to get started and keep things going forward.



Complimentary Efforts
Gain value from required efforts to support other elements of your business.

There is no single set of standards, templates, or steps to accomplish effective compliance and risk management. Efforts will range based on the industry, markets, and composition of the company. Statutory, regulatory, and guidance information can vary significantly from one jurisdiction to another. Understanding what a company must do, should do, and could do may be quite challenging to figure out.

Northcross Group (NCG) helps organizations sift through the complex web of rules, requirements, and standards. We clarify what they mean to your unique operation and how you can address them. We build programs to address these needs and provide the capabilities to best manage your risks and conduct compliance oversight and governance. NCG has expertise in interpreting regulatory requirements and addressing them with industry standards and best practices. We have experience in multiple industries with security, privacy, and financial control frameworks. We have tailored control frameworks to meet operational requirements for organizations of all sizes and complexities.

All companies have constraints on resources, and must make choices and prioritize actions. Prioritization and targeting of efforts are active and ongoing program processes that address internal and external changes. These foundational best practices keep programs proactive and relevant in rapidly evolving environments.

Empowering Your Team for Success

NCG's methodology focuses on enabling your people to sustain effective compliance and risk management efforts. We build programs that empower — that do not create a dependence on third-party support for day-to-day efforts. We accomplish this through consultation, practice building, and training that is then reinforced with automated tools that allow your organization to take control of your compliance and risk management processes.

Our methodology starts with the basics and builds in refinements as capabilities mature. We craft frameworks specific to the industry and type of organization. This allows progress at a rate that is right for the organization and is sustainable.

What is Required and What is Not

With multiple sets of requirements, it can be difficult to know exactly what must be done to be compliant. Working in highly regulated industries, we pay close attention to regulator guidance and trends. We use this insight to help organizations get their arms around what is really required and what is not. Requirements are not always very specific and companies must make the best practical business decision and substantiate that decision. We help organizations balance the business case with compliance, and what is right for your company in the long run.

Program Focus

Programs are comprised of multiple efforts that are designed to continually accomplish goals without a specific endpoint. NCG crafts programs that help companies achieve compliance and implement risk management capabilities; and then keep those efforts going. We build sustainable programs for your team's success on an ongoing basis. We develop policies and procedures that provide work instructions for people at all levels of the company to know what to do and when to do it. We establish frameworks that will allow your program to evolve along with the organization and retain its relevance and value.

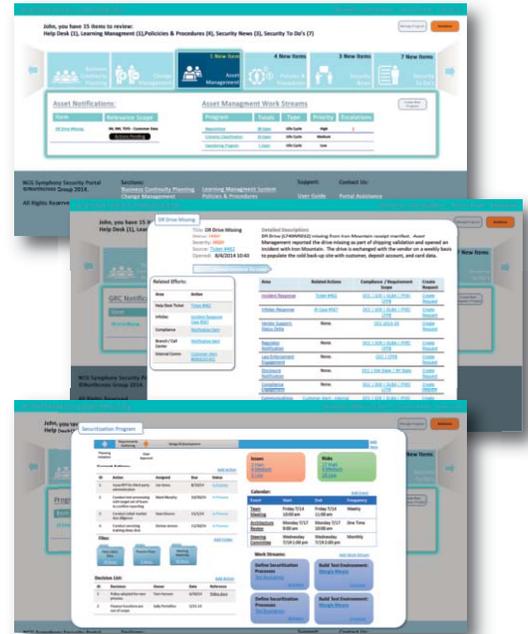


Orchestration Automation Tools

Typical compliance and risk management software can be extremely complicated and expensive. This has made such software challenging for many organizations, but trying to manage all the data and reporting needs can be next to impossible without automation.

NCG has developed the Orchestration Platform as an affordable cloud-based solution that is designed to be used by everyone in your organization that plays a role in compliance and risk management. The Orchestration platform combines industry standard program elements with elements specific to your industry and your specific organizational structure using NCG's patented framework (U.S. Patent No. 9,754,117).

Orchestration tools empower your people for success, helping them know what is needed, when it is needed, and who within your team needs to be worked with.



Compliance Program Components

Every company needs to understand its compliance requirements, and have the ability to demonstrate its conformance. Companies need ways to check and verify that they continue to be compliant as time passes and as things change in their operations. Controls need to be validated to make sure they continue to deliver their intended purpose, and non-value-adding controls should be altered or eliminated. When new requirements come along there needs to be ways to incorporate those new requirements with minimal impact.

Trying to keep up with all these variables and details can be challenging and time consuming. NCG has developed tools that help people across your company attain and maintain compliance. NCG has a patented framework (U.S. Patent No. 9,754,117) that helps your people know the tasks that are expected of them and who they can collaborate with to get the job done — without having to learn complex software.

Risk Management Components

Risk management processes can grow to be very robust and complex. The key is to start simple and mature your processes with your organization's capabilities. For organizations that have risk management programs, we look for areas where improvements will best serve the entire program. Areas such as data collection, integration, and management are critical functions that can provide efficiencies and enhanced capabilities. Engagement, communications, and collaboration among different parts of the organization are extremely important elements for a robust program. NCG's patented framework provides automated tools and process support to empower individuals across your company to be active in managing risks.

Integrating Compliance and Risk Management

While compliance and risk management programs have their own unique elements, many of the efforts and objectives are the same. Economies of scale should be found to save time, money, and the attention of your team. Our approach is to look broadly at what people need to do for compliance and risk management, and to perform their primary job functions and look for ways to combine and align efforts. We strive to ensure that data and information, tools, and automation work together complimentary fashion.

Compliance and Risk Management Focus Areas:



Cybersecurity

The NCG team brings decades of experience in cybersecurity from the federal, civilian, and defense arenas to the commercial sector. We focus on crafting cybersecurity programs for your specific industry, markets served, and team. Our approach is holistic covering technology and tools, as well as people and the security culture in your organization.



Financial Services

The financial sector has a number of unique national and state level requirements. NCG has extensive experience across financial services with expertise in core banking, mergers and acquisitions, and managing third-party relationships. We combine a deep understanding of financial systems and their technologies from the latest API efforts to legacy mainframe applications, to accomplish data integration and analysis.



DevOps

The streamlining of segmented development and operations with Continuous Delivery (CD) and Continuous Integration (CI) provides incredible opportunity for savings, efficiencies, and better applications. NCG helps guide the transformation to DevOps environments without compromising controls and risk management. We help organizations account for the technology, infrastructure, and corporate cultural changes needed for success.



Aviation Safety

Aviation safety management is intrinsically linked with compliance and risk management efforts. Requirements for operators and airports continue to develop with an increasing focus on people and safety cultures being a key element for success. NCG works with the Federal Aviation Administration and industry to build and enhance safety management systems that deliver results and promote safety throughout the corporate culture.

The Northcross Group (NCG) delivers business system and technology services. NCG makes it our business to ensure that technology serves our clients, allowing them to meet business goals, gain competitive advantage, enhance security, implement governance, ensure compliance, and stabilize operations.

NCG consultants bring a blend of technical and business acumen with a proven track record in the public, private, and non-profit sectors. We approach business challenges head-on and figure out the most effective way to leverage technology to reach objectives.

NCG uses disciplined processes, refined from decades of experience. Flexibility is a cornerstone of our industry-tested methodologies—giving NCG the ability to adapt to changing environments and needs.

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